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**STATEMENT UNDER 37 CFR 3.73(b)**

Attorney File No. **4781.P001A**

Applicant/Patent Owner: **Principia Lightworks, Inc**

Application No./Patent No.: **10/085,246**

Filed/Issue Date: **2/28/2002**

Entitled: **Dual Mode Laser Projection System for Electronic and Film Images**

**Principia Lightworks, Inc, a California corporation**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

May 7, 2002  
Date

**Glenn H. Sherman, Ph.D.**

Typed or printed name

Glenn H. Sherman  
Signature

**President & CEO**

Title

COPY OF PAPERS  
ORIGINALLY FILED

Appn No.: 10/085,246  
Filed: February 28, 2002  
Our Ref No.: 4781.P001A

ASSIGNMENT

WHEREAS, We, Glenn H. Sherman, a citizen of USA, residing at 1103 Luneta Drive, Del Mar, CA, USA; and Michael D. Tiberi, a citizen of USA, residing at 17286 La Brisa, Rancho Santa Fe, CA, USA have invented certain new and useful improvements relating to a(n) **DUAL MODE LASER PROJECTION SYSTEM FOR ELECTRONIC AND FILM IMAGES** for which an Application for Letters Patent was filed on February 28, 2002, and given Application No. 10/085,246.

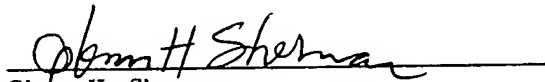
AND WHEREAS, **PRINCIPIA LIGHTWORKS, INC** (hereinafter ASSIGNEE), a California Corporation, having a business address at **5825 Avenida Encinas #101, Carlsbad, CA 92008** desires to acquire the entire right, title, and interest in and to the said improvements and the said Application.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) each to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventor(s), do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue applications and any other patent applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

===== FIRST (OR SOLE) INVENTOR =====

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 29<sup>th</sup> day of March, 2002

  
Glenn H. Sherman

STATE OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_

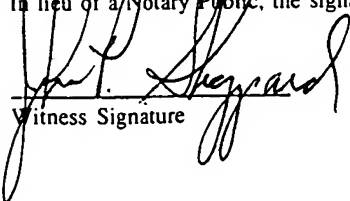
On \_\_\_\_\_, before me, \_\_\_\_\_ personally appeared Glenn H. Sherman personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Signature

In lieu of a Notary Public, the signature of Glenn H. Sherman is hereby witnessed by:

  
Witness Signature

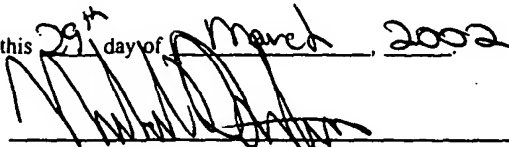
John P. Sheppard  
Witness Name (Print)

03/29/02  
Date

Appn No.: 10/085,246  
Filed: February 28, 2002  
Our Ref No.: 4781.P001A

===== SECOND INVENTOR =====

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

29<sup>th</sup> day of March, 2002  
  
Michael D. Tiberi

STATE OF  
COUNTY OF

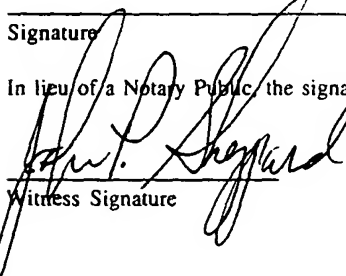
On \_\_\_\_\_, before me, \_\_\_\_\_ personally appeared Michael D. Tiberi personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Signature \_\_\_\_\_

In lieu of a Notary Public, the signature of Michael D. Tiberi is hereby witnessed by:

 Witness Signature  
John P. Sheppard Witness Name (Print) 03/29/02 Date

===== THIRD INVENTOR =====

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_.

STATE OF  
COUNTY OF

On \_\_\_\_\_, before me, \_\_\_\_\_ personally appeared \_\_\_\_\_ personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Signature \_\_\_\_\_

In lieu of a Notary Public, the signature of \_\_\_\_\_ is hereby witnessed by:

\_\_\_\_\_  
Witness Signature

\_\_\_\_\_  
Witness Name (Print)

\_\_\_\_\_  
Date